



- Compliance with International Codes
- Compliance to State/Regional Codes

ICC-ES Evaluation Report

ESR-3433

Reissued February 2023

Revised March 2023

This report is subject to renewal February 2024.

DIVISION: 03 00 00—CONCRETE
Section: 03 21 00—Reinforcement Bars

REPORT HOLDER:

SPLICE SLEEVE NORTH AMERICA, INC.

EVALUATION SUBJECT

NMB SPLICE-SLEEVE® TYPE U-X AND A11W SYSTEMS FOR CONNECTING STEEL REINFORCING BARS

1.0 EVALUATION SCOPE

Compliance with the following codes:

- 2021, 2018, 2015, 2012 and 2009 *International Building Code*® (IBC)
- 2021, 2018, 2015, 2012 and 2009 *International Residential Code*® (IRC)
- 2013 *Abu Dhabi International Building Code* (ADIBC)[†]

[†]The ADIBC is based on the 2009 IBC. 2009 IBC code sections referenced in this report are the same sections in the ADIBC.

For evaluation for compliance with codes adopted by Los Angeles Department of Building and Safety (LADBS), see [ESR-3433 LABC and LARC Supplement](#).

Property evaluated:

Structural

2.0 USES

The Splice Sleeve North America, Inc. (SSNA), NMB Splice-Sleeve Type U-X and A11W systems are used as mechanical splices of deformed steel reinforcing bars in reinforced concrete construction.

The NMB Splice-Sleeve Type U-X and A11W systems comply with Section 25.5.7.1 of ACI 318-19 for the 2021 IBC and ACI 318-14 for the 2018 and 2015 IBC, and Section 12.14.3.2 of ACI 318 (-11 and -08) for the 2012 and 2009 IBC, respectively, as ACI 318 is referenced in IBC Section 1901.2, for use as tension and compression mechanical connections of deformed steel reinforcing bars.

The NMB Splice-Sleeve Type U-X and A11W connectors are used with SS Mortar to splice ASTM A615 Grade 60 or

ASTM A706 Grade 60, deformed bars, forming NMB Splice-Sleeve Type U-X and A11W systems, respectively.

The NMB Splice-Sleeve Type U-X and A11W systems comply with the Type 1 and Type 2 mechanical splice requirements of Section 18.2.7.1 of ACI 318-19 for the 2021 IBC and ACI 318-14 for the 2018 and 2015 IBC, Section 21.1.6.1 of ACI 318 (-11 and -08) for the 2012 and 2009 IBC, respectively, for use where Type 1 mechanical splices are specified by the IBC and ACI 318.

The NMB Splice-Sleeve Type U-X in sizes 6U-X, 8U-X, 11U-X, 14U-X and the A11W system, may be used as Type 2HS mechanical splices (“HS” denotes “High Strain” described in AC133). The Type 2HS splice is a mechanical splice intended for use in seismic applications with reinforcing bars conforming to ACI 318-19 Section 20.2.1.3(b) for the 2021 IBC.

The Splice Sleeve North America, Inc. (SSNA), NMB Splice-Sleeve Type U-X and A11W systems are permitted to be used in structures regulated in the IRC, provided an engineering design is submitted in accordance with IRC Section R301.1.3

3.0 DESCRIPTION

3.1 NMB Splice-Sleeve Type U-X Connectors:

The Type U-X NMB Splice-Sleeve connectors consist of half-straight and half-tapered steel cylinders, with multiple internal ridges. The Type U-X NMB Splice-Sleeve connectors have two unequal inside-diameter and unequal outside-diameter ends (wide end and narrow end), and are used to splice two uncoated, equal-diameter, deformed steel reinforcing bars. The midsection of the interior of the sleeve is provided with a rebar stop that establishes the proper embedment length of the reinforcing bars. As an optional feature, the sleeves are also available with a set screw located on the side of the narrow end of the sleeve. The set screw temporarily attaches the sleeve to the rebar inserted in the narrow end of the sleeve prior to the installation of the grout.

The Type U-X sleeves are iron castings conforming to a proprietary specification, which is based on ASTM A536-84 (2009), with a Grade of 85-60-06 [minimum yield and tensile strengths of 60,000 and 85,000 psi (414 and 586 MPa), respectively] for all sleeve sizes greater than No. 6, and a Grade of 65-45-12 [minimum yield and tensile strengths of 45,000 and 65,000 psi (310 and

448 MPa), respectively] for 5U-X and 6U-X sleeves. The NMB Splice-Sleeve Type U-X connector configuration, dimensions, and the required rebar embedment lengths are provided in Figure 1 and Table 1.

3.2 NMB Splice-Sleeve A11W Connector:

The A11W NMB Splice-Sleeve connector consists of a straight steel cylinder, with multiple internal ridges. The A11W NMB Splice-Sleeve connector has two unequal inside-diameter ends, and is used to splice uncoated, No. 11 deformed steel reinforcing bars. The midsection of the interior of the sleeve is provided with a rebar stop that establishes the proper embedment length of the reinforcing bars. As an optional feature, the sleeve is also available with a set screw located on the side of the narrow end of the sleeve. The set screw temporarily attaches the sleeve to the rebar inserted in the narrow end of the sleeve prior to the installation of the grout. The A11W sleeve is an iron casting conforming to a proprietary specification, which is based on ASTM A536-84 (2009), with a Grade of 85-60-06 [minimum yield and tensile strengths of 60,000 and 85,000 psi (414 and 586 MPa), respectively]. The NMB Splice-Sleeve A11W connector configuration, dimensions, and the required rebar embedment lengths are provided in Figure 1 and Table 1.

3.3 SS Mortar®:

SS Mortar is a non-shrink, high-early-strength, pre-dry-mixed, cementitious grout manufactured by Splice Sleeve Japan, Ltd., at 17-1 Nihonbashi Hakozaeki-Cho, Chuo-Ku, Tokyo, Japan, for Splice Sleeve North America, Inc. The mortar is packaged in 55-pound (25 kg) moisture-resistant bags, and has a shelf life of 12 months when stored in a cool dry environment.

3.4 Reinforcing Steel Bars:

The reinforcing steel bars must be uncoated, deformed steel reinforcing bars complying with ASTM A615 Grade 60 or ASTM A706 Grade 60.

4.0 DESIGN AND INSTALLATION

4.1 General:

The SSNA NMB Splice-Sleeve Type U-X and A11W systems must be designed and installed in accordance with the IBC and this evaluation report. The splice locations must be detailed on plans approved by the code official. All required spacing and concrete protection (cover) described in IBC Table 721.1 (1) (Table 720.1(1) for the 2009 IBC) and applicable sections of ACI 318 must be measured from the outside of the splice systems.

4.2 Preparation and Installation of NMB Splice Sleeve Type U-X and A11W Systems:

All reinforcement must be clean and free from loose rust, oils, dust and other foreign material. All foreign matter and water must be removed from the sleeves.

The sleeves must be prepared and installed in accordance with the applicable code, the approved construction documents, and the recommendations noted in the "NMB Splice-Sleeve System User's Manual" by SSNA.

Sleeves must be prepared by installations of rubber plug (RP), PVC grout tubes and hole seals (HS) in accordance with the manufacturer's installation instructions.

The prepared sleeve must be installed on the first rebar so that the bar end is in contact with the rebar stop inside the sleeve, to assure the specified embedment length. The embedment length of connected bars must meet the

minimum embedment length requirement as shown in Figures 1 and 2 and Tables 1 and 2.

4.2.1 Grouting: Sleeves must be grouted with SS Mortar, which is to be mixed with clean water according to the grout manufacturer's recommendations. Grout must be proportioned so that the splice strength can be attained by achieving a minimum compressive strength of not less than 12,500 psi (86.2 MPa) for the SS Mortar in 28 days as determined by testing on grout cube specimens in accordance with ASTM C109 and the sleeve manufacturer's recommendations.

Grout must be poured or pumped into the sleeves, depending upon the location of the sleeves.

For pre-grout (PRE) installation, the grout must be poured into the sleeves and rodded or vibrated to consolidate it. For post-grout (PG) installations, grout must be pumped into the inlet (larger) grout tube until it flows freely from the outlet (smaller) tube. Immediately after grout outflow is observed, and before the pump nozzle is removed, a rubber stopper must be inserted into the outlet grout tube. Immediately upon removal of the nozzle from the inlet grout tube, a rubber stopper must be inserted into the inlet grout tube in such a manner so as to prevent leakage of grout from the sleeve. All spaces within the sleeve must be fully penetrated with the grout, and excess grout must be removed.

4.2.2 Grouting Testing: Measurement of consistency of the grout is necessary in order to determine and maintain the proper amount of mixing water to assure a smooth, pumpable grout matrix. Consistency flow tests must be run by using a flow table to test the flow of batches of grout mixture in accordance with the Japanese Architectural Standard Specification (JASS) standard 15 M103, entitled "Tests for quality evaluation of self-leveling materials." The grout must flow to a diameter of 6 inches to 9¹/₄ inches (152 to 235 mm). Grout strength must be determined by testing of 2-inch (51 mm) cube specimens in accordance with ASTM C109 and ASTM C942, and the recommendations of Splice Sleeve North America, Inc. The grout cubes must be kept in a curing box for 24 hours. During the following day, the grout cubes must be stripped from the curing box and be submerged in a container with water, which must be kept under the same condition as the jobsite until the compressive strength testing.

4.3 Special Inspection:

Special inspection is required in accordance with Section 1705 of the IBC (Section 1704 of the 2009 IBC). In addition to verifying installation of steel reinforcing bar splices in accordance with this report, the special inspector must verify the grade and size of reinforcing bars; reinforcing bar embedment length; coupler identification; grout identification; field preparation of components; grout mixing, grouting, curing and testing; and assembly of the components resulting in spliced bars.

5.0 CONDITIONS OF USE

The SSNA NMB Splice-Sleeve Type U-X and A11W systems described in this report comply with, or are suitable alternatives to what is specified in, those codes listed in Section 1.0 of this report, subject to the following conditions:

5.1 The splice system must be identified and installed in accordance with the applicable code, the manufacturer's instructions and this report. In the event of conflict between this report and the manufacturer's instructions, this report governs.

- 5.2 Special inspection must be provided in accordance with Section 4.3 of this report.
 - 5.3 The minimum concrete cover must be in accordance with the IBC and must be measured from the outer surface of the splice connectors.
 - 5.4 Splice locations must comply with applicable IBC requirements and be noted on plans approved by the code official.
 - 5.5 Under the 2021, 2018 and 2015 IBC, for structures regulated by Chapter 18 of ACI 318-19 and ACI 318-14 (as required by 2021, 2018 and 2015 IBC Section 1905.1, as applicable), to splice uncoated, deformed longitudinal reinforcing bars resisting earthquake-induced flexure, axial force, or both, in special moment frames, special structural walls, and all components of special structural walls including coupling beams and wall piers, with the SSNA NMB Splice-Sleeve systems, mill certificates of reinforcing bars must be submitted to the code official as evidence that the steel reinforcing bars comply with ACI 318-19 and ACI 318-14 Section 20.2.2.5.
 - 5.6 Under the 2012 IBC, for structures regulated by Chapter 21 of ACI 318-11 (as required by 2012 IBC Section 1905.1), to splice uncoated, deformed longitudinal reinforcing bars resisting earthquake-induced flexure, axial force, or both, in special moment frames, special structural walls, and all components of special structural walls including coupling beams and wall piers, with the SSNA NMB Splice-Sleeve systems, mill certificates of reinforcing bars must be submitted to the code official as evidence that the steel reinforcing bars comply with ACI 318-11 Section 21.1.5.2.
 - 5.7 Under the 2009 IBC, for structures regulated by Chapter 21 of ACI 318-08 (as required by 2009 IBC Section 1908.1), to splice uncoated, deformed longitudinal reinforcing bars resisting earthquake-induced flexural and axial forces in frame members, structural walls and coupling beams, with the SSNA NMB Splice-Sleeve systems, mill certificates of reinforcing bars must be submitted to the code official as evidence that the steel reinforcing bars comply with ACI 318-08 Section 21.1.5.2.
 - 5.8 The NMB Splice-Sleeve Type U-X and A11W connectors must be used with SS Mortar manufactured by the Splice Sleeve Japan, Ltd., for Splice Sleeve North America, Inc., in accordance with the approved quality documentation.
 - 5.9 The use of NMB Splice Sleeve Type U-X and A11W systems in fire-resistance-rated construction is outside the scope of this evaluation report.
 - 5.10 The evaluation of corrosion resistance of the mechanical splice is outside the scope of this evaluation and shall be considered by the registered design professional during the design.
- ## 6.0 EVIDENCE SUBMITTED
- Data in accordance with the ICC-ES Acceptance Criteria for Mechanical Connector Systems for Steel Reinforcing Bars (AC133), dated October 2020.
- ## 7.0 IDENTIFICATION
- 7.1 The ICC-ES mark of conformity, electronic labeling, or the evaluation report number (ICC-ES ESR-3433) along with the name, registered trademark, or registered logo of the report holder must be included in the product label.
 - 7.2 In addition, each NMB Splice-Sleeve is marked with the company logo (NMB), the sleeve model and size (such as 9U-X and A11W), lot number, and "T2" indicating a Type 2 or Type 2HS mechanical splice, as applicable. Each container of sleeves is identified with a product label which, at a minimum, includes the report holder's name (Splice Sleeve North America, Inc.) and address, product model and size. Each bag of SS Mortar is marked with the report holder's name (Splice Sleeve North America, Inc.) and address, grout manufacturer's name and address, product description (NMB Splice-Sleeve System) and mixing instructions. Additionally, a lot number is printed on each bag of SS Mortar which identifies the production date and manufacturing facility.
 - 7.3 The report holder's contact information is the following:

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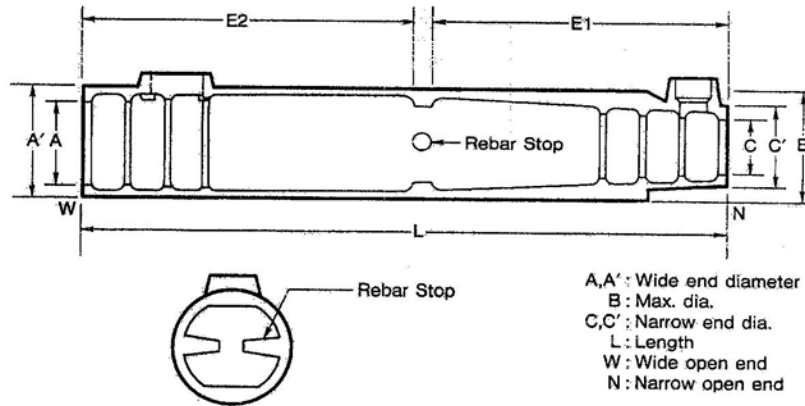


FIGURE 1—NMB TYPE U-X AND A11W SPLICE-SLEEVES

TABLE 1—DIMENSIONS OF NMB TYPE U-X AND A11W SPLICE-SLEEVES

DIMENSIONS OF NMB U-X SLEEVES										REQUIRED REBAR EMBEDMENT LENGTH				
Sleeve No.	Bar Diameter (in.) [mm]	Bar Size		Sleeve Length (in.) [mm]	Narrow End Diameter (in.) [mm]		Maximum Diameter (B) (in.) [mm]	Wide End Diameter (in.) [mm]			Dowel (E1) (in.) [mm]		Dowel (E2) (in.) [mm]	
		ASTM	JIS		I.D. (C)	O.D. (C')		I.D. (A)	Total Tolerance ¹	O.D. (A')	Min.	Max.	Min.	Max.
5U-X	0.625 [16]	#5	D1 6	9.65 [245]	0.87 [22]	1.50 [38]	1.89 [48.0]	1.26 [32.0]	0.63 [16.0]	1.89 [48.0]	4.13 [105]	4.33 [110]	4.13 [105]	4.92 [125]
6U-X	0.750 [19]	#6	D1 9	11.22 [285]	1.02 [26]	1.65 [42]	2.05 [52.0]	1.42 [36.0]	0.67 [17.0]	2.05 [52.0]	4.92 [125]	5.12 [130]	4.92 [125]	5.71 [145]
7U-X	0.875 [22]	#7	D2 2	12.80 [325]	1.14 [29]	1.77 [45]	2.36 [60.0]	1.73 [44.0]	0.86 [21.8]	2.36 [60.0]	5.71 [145]	5.91 [150]	5.71 [145]	6.50 [165]
8U-X	1.000 [25]	#8	D2 5	14.57 [370]	1.30 [33]	1.93 [49]	2.52 [64.0]	1.89 [48.0]	0.89 [22.6]	2.52 [64.0]	6.50 [165]	6.69 [170]	6.50 [165]	7.48 [190]
9U-X	1.128 [29]	#9	D2 9	16.34 [415]	1.42 [36]	2.06 [52.4]	2.67 [67.9]	2.01 [51.0]	0.89 [22.6]	2.67 [67.9]	7.40 [188]	7.56 [192]	7.40 [188]	8.35 [212]
10U-X	1.270 [32]	#10	D3 2	17.91 [455]	1.57 [40]	2.28 [58]	2.87 [73.0]	2.17 [55.0]	0.89 [22.6]	2.87 [73.0]	8.19 [208]	8.35 [212]	8.19 [208]	9.13 [232]
11U-X	1.410 [36]	#11	D3 6	19.49 [495]	1.73 [44]	2.40 [61]	3.03 [77.0]	2.32 [59.0]	0.91 [23.1]	3.03 [77.0]	8.98 [228]	9.13 [232]	8.98 [228]	9.92 [252]
14U-X	1.693 [43]	#14	D4 3	24.41 [620]	2.01 [51]	2.80 [71]	3.46 [88.0]	2.60 [66.0]	0.91 [23.1]	3.46 [88.0]	11.42 [290]	11.61 [295]	11.42 [290]	12.40 [315]
A11W	1.410 [36]	#11	D3 6	19.49 [495]	1.73 [44]	3.31 [84]	3.31 [84.0]	2.60 [66.0]	1.19 [30.2]	3.31 [84.0]	8.86 [225]	9.69 [246]	8.27 [210]	9.50 [241]

¹Total tolerance is determined by subtracting bar diameter from the wide end inside diameter (A).

DIVISION: 03 00 00—CONCRETE
Section: 03 21 00—Reinforcement Bars

REPORT HOLDER:

SPLICE SLEEVE NORTH AMERICA, INC.

EVALUATION SUBJECT:

NMB SPLICE-SLEEVE® TYPE U-X, SNX11 AND A11W SYSTEMS FOR CONNECTING STEEL REINFORCING BARS

1.0 REPORT PURPOSE AND SCOPE**Purpose:**

The purpose of this evaluation report supplement is to indicate that the NMB Splice-Sleeve Type U-X, SNX11 and A11W mechanical splice system for connecting steel reinforcing bars in concrete, described in ICC-ES evaluation report [ESR-3433](#), has also been evaluated for compliance with the codes noted below as adopted by the Los Angeles Department of Building and Safety (LADBS).

Applicable code editions:

- 2017 *City of Los Angeles Building Code* (LABC)
- 2017 *City of Los Angeles Residential Code* (LARC)

2.0 CONCLUSIONS

The NMB Splice-Sleeve Type U-X, SNX11 and A11W mechanical splice system for connecting steel reinforcing bars in concrete, described in Sections 2.0 through 7.0 of the evaluation report [ESR-3433](#), complies with the LABC Chapter 19, and is subjected to the conditions of use described in this supplement.

3.0 CONDITIONS OF USE

The NMB Splice-Sleeve Type U-X, SNX11 and A11W mechanical splice system for connecting steel reinforcing bars in concrete described in this evaluation report must comply with all of the following conditions:

- All applicable sections in the evaluation report [ESR-3433](#).
- The design, installation, conditions of use and identification are in accordance with the 2015 *International Building Code*® (2015 IBC) provisions noted in the evaluation report [ESR-3433](#).
- The design, installation and inspection are in accordance with additional requirements of LABC Chapters 16 and 17, as applicable.
- Under the LARC, an engineered design in accordance with LARC Section R301.1.3 must be submitted.
- Continuous special inspection by Deputy Inspectors shall be provided during installation of splices.
- The mechanical coupler system assembly shall be produced in the shop of an approved City of Los Angeles fabricator, in accordance with LABC Section 91.202 definition for "Fabricated Item" and LABC Section 96.203.

This supplement expires concurrently with the evaluation report, reissued February 2023 and revised March 2023.