

ICC-ES APPLICATION FOR BUILDING PRODUCT LISTING REPORT – FORM A

1. APPLICATION TYPE (Please check one of the following):	
New listing report	Revision to existing listing report
Reinstatement of Listing Report	Listing report number: _____

2. LISTING REPORT APPLICANT COMPANY INFORMATION:	
Company Name: _____ (Exactly as it should appear in the published listing report and on the product label)	
Company Legal Status: _____ (Sole proprietorship, general or limited partnership, S or C Corporation, LLC, etc.)	
Website: _____	
Mailing Address: _____	
City: _____	State: _____ Zip: _____
Phone: _____	E-mail Address: _____

3. LISTING REPORT REVISIONS (Check all that apply to the application for revisions):	
<input type="checkbox"/> Editorial Revision	<input type="checkbox"/> Technical Revision
<input type="checkbox"/> Listing report number: _____	<input type="checkbox"/> Manufacturing plant or importer change
<input type="checkbox"/> New model additions	<input type="checkbox"/> File transfer
<input type="checkbox"/> Additional listee (see Form C following)	<input type="checkbox"/> Model number change (modification)
<input type="checkbox"/> Technical modification to listed product: (<i>design, material, shape, components, etc.</i>)	<input type="checkbox"/> Company name change* (For contact name and/or address change, please contact our customer service directly)
<input type="checkbox"/> Deletion of models or additional listees	<input type="checkbox"/> Other: _____
<p>a) Are there changes in the product formulation, raw material specifications, suppliers of raw materials, manufacturing method, manufacturer, or in the documented quality control system from what was originally submitted or from the last time ICC-ES reviewed the product? No Yes ** If yes, please explain on a separate sheet **</p> <p>b) Are you aware of any complaints, or legal actions pending, related to code compliance, about the product(s) covered by the listing report? No Yes ** If yes, please explain on a separate sheet **</p> <p>c) Has the standard or code governing the product undergone revision that affects the listing report? No Yes ** If yes, please explain on a separate sheet **</p> <p>d) Has the product changed in such a way as to conflict with the ICC-ES Rules of Procedure for Listing Reports? No Yes ** If yes, please explain on a separate sheet **</p>	

This box is for ICC-ES internal use only:		
File No. _____	Date Received: _____	Fee: _____
Listing Report No. _____		

4. APPLICABLE CODES (Please check all that apply):

<input type="checkbox"/> International Building Code® (IBC)	<input type="checkbox"/> International Residential Code® (IRC)
<input type="checkbox"/> International Energy Conservation Code® (IECC)	<input type="checkbox"/> National Building Code of Canada (NBCC)

5. PRODUCT INFORMATION:

Product Name and Model Number: _____
(As it should appear in the listing report; attach a separate sheet if necessary)

Application for Recognition of: ☐ Product ☐ Component ☐ Material ☐ Other: _____

Is there a situation under which the product can lead to a potential hazard? **No** **Yes**

6. CONTACT INFORMATION:

TECHNICAL REPRESENTATIVE: _____
(Name and Title)

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ E-mail Address: _____

BILLING REPRESENTATIVE: _____
(Name and Title)

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ E-mail Address: _____

AUTHORIZED SIGNATORY: _____
(Name and Title)

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ E-mail Address: _____

CONSULTANT: _____
(Name and Title)

Company Name: _____
(If different from the company information on the previous page)

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: _____ E-mail Address: _____

7. STANDARDS TO WHICH PRODUCT IS TO BE LISTED (if known):

<input type="checkbox"/>	AAMA /WDMA/CSA 101/I.S.2/A440																					
<input type="checkbox"/>	ASCE 19-10 – Structural Applications of Steel Cables for Buildings																					
<input type="checkbox"/>	ASTM C90 – Concrete Masonry Units																					
<input type="checkbox"/>	ASTM C847– Standard Specification for Metal Lath																					
<input type="checkbox"/>	ASTM C1178 – Coated Water-Resistant Gypsum Backing Panels																					
<input type="checkbox"/>	ASTM C1396 – Specification for Gypsum Board																					
<input type="checkbox"/>	ASTM D226 – Asphalt-Saturated Organic Felt																					
<input type="checkbox"/>	ASTM D1970 – Self-Adhering Polymer Modified Bituminous Sheet Materials Used as Steep Roof Underlayment for Ice Dam																					
<input type="checkbox"/>	ASTM D3909 – Asphalt (Glass Felt) Surfaced with Mineral Granules																					
<input type="checkbox"/>	ASTM D4869 – Asphalt-Saturated (Organic Felt) Underlayment Used in Steep Slope Roofing																					
<input type="checkbox"/>	ASTM E84 – Test Method for Surface Burning Characteristics of Building Materials: <table border="0" style="width: 100%;"> <tr> <td><input type="checkbox"/> Acoustic Insulation</td> <td><input type="checkbox"/> Aluminum Composite Materials</td> </tr> <tr> <td><input type="checkbox"/> Canopy Materials</td> <td><input type="checkbox"/> Composite Materials</td> </tr> <tr> <td><input type="checkbox"/> Duct Insulation</td> <td><input type="checkbox"/> Foam Plastic Insulation</td> </tr> <tr> <td><input type="checkbox"/> High-Pressure Decorative Exterior Grade Laminates (HPL)</td> <td><input type="checkbox"/> Interior Finish and Trim Products</td> </tr> <tr> <td><input type="checkbox"/> Interior Wall and Ceiling Products</td> <td><input type="checkbox"/> Light Transmitting Plastics</td> </tr> <tr> <td><input type="checkbox"/> Mechanical Equipment Screens</td> <td><input type="checkbox"/> Metal Composite Materials</td> </tr> <tr> <td><input type="checkbox"/> Pipe Covers</td> <td><input type="checkbox"/> Plastic Signs (Light Transmitting Plastics)</td> </tr> <tr> <td><input type="checkbox"/> Plastic/Aluminum Canopies</td> <td><input type="checkbox"/> Polypropylene Siding</td> </tr> <tr> <td><input type="checkbox"/> Reflective Plastic Core Insulation</td> <td><input type="checkbox"/> Site-Fabricated Stretch Systems</td> </tr> <tr> <td><input type="checkbox"/> Textile Wall and Ceiling Coverings</td> <td><input type="checkbox"/> Thermal Insulation (other than foam plastic)</td> </tr> </table>		<input type="checkbox"/> Acoustic Insulation	<input type="checkbox"/> Aluminum Composite Materials	<input type="checkbox"/> Canopy Materials	<input type="checkbox"/> Composite Materials	<input type="checkbox"/> Duct Insulation	<input type="checkbox"/> Foam Plastic Insulation	<input type="checkbox"/> High-Pressure Decorative Exterior Grade Laminates (HPL)	<input type="checkbox"/> Interior Finish and Trim Products	<input type="checkbox"/> Interior Wall and Ceiling Products	<input type="checkbox"/> Light Transmitting Plastics	<input type="checkbox"/> Mechanical Equipment Screens	<input type="checkbox"/> Metal Composite Materials	<input type="checkbox"/> Pipe Covers	<input type="checkbox"/> Plastic Signs (Light Transmitting Plastics)	<input type="checkbox"/> Plastic/Aluminum Canopies	<input type="checkbox"/> Polypropylene Siding	<input type="checkbox"/> Reflective Plastic Core Insulation	<input type="checkbox"/> Site-Fabricated Stretch Systems	<input type="checkbox"/> Textile Wall and Ceiling Coverings	<input type="checkbox"/> Thermal Insulation (other than foam plastic)
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<input type="checkbox"/> Textile Wall and Ceiling Coverings	<input type="checkbox"/> Thermal Insulation (other than foam plastic)																					
<input type="checkbox"/>	ASTM E90 – Standard Test Method for Laboratory Measurement of Airborne Sound Transmission Loss of Building Partitions and Elements																					
<input type="checkbox"/>	ASTM E119 – Fire Tests of Building Construction and Materials																					
<input type="checkbox"/>	ASTM E492 – Standard Test Method for Laboratory Measurement of Impact Sound Transmission Through Floor-Ceiling Assemblies Using the Tapping Machine																					
<input type="checkbox"/>	CAN/ULC-S101 – Standard Methods of Fire Endurance Tests of Building Construction and Materials																					
<input type="checkbox"/>	CAN/ULC-S102 – Standard Method of Test for Surface Burning Characteristics of Building Materials and Assemblies																					
<input type="checkbox"/>	CAN/ULC-S114 – Non-combustible Materials																					
<input type="checkbox"/>	CAN/ULC-S741 – Air Barrier Materials – Specification																					
<input type="checkbox"/>	CAN/ULC-S742 – Air Barrier Assemblies – Specification																					
<input type="checkbox"/>	CPSC 16 CFR Part 1404 – Cellulosic Insulation																					
<input type="checkbox"/>	NFPA 13-10 – Installation of Sprinkler Systems																					
<input type="checkbox"/>	UL 924 – Emergency Lighting and Power Equipment																					
<input type="checkbox"/>	UL 1479/ASTM E814 – Fire-Resistance Ratings for Through-Penetration Firestops																					
<input type="checkbox"/>	UL 1994/ASTM E2072 – Photoluminescent (Phosphorescent) Safety Markings																					
<input type="checkbox"/>	UL 2034 – Standard for Safety of Single and Multiple Station Carbon Monoxide Alarms																					
<input type="checkbox"/>	Other: _____																					

8. AGREEMENT AND SIGNATURE:

- a. Applicant acknowledges reviewing applicable ICC-ES Rules of Procedure, and that the applicant shall be responsible for ensuring that products covered by this application conform with ICC-ES product certification requirements. The applicant agrees that ICC-ES may, as necessary, subcontract for work related to the product certification process; although the applicant retains the right to reject ICC-ES's use of any particular subcontractor. The applicant also agrees that, as may be required for the product evaluation and any follow-up surveillance activities, the applicant will make all necessary arrangements for examination of the applicant's documentation and records, and/or for ICC-ES access to the applicant's relevant locations, areas, equipment, personnel, and subcontractors. If requested by ICC-ES, the applicant will make arrangements for third-party observers, representing accreditation bodies, to be present during any given inspection of the manufacturing facilities producing products covered by this application.
- b. In consideration of the processing of this application, the applicant agrees to abide by any conditions attached to the approval of this application, the requirements of the applicable standards and codes, and the Rules of Procedure of ICC Evaluation Service, LLC, as they now exist and as they may be reasonably modified in the future.
- c. The applicant agrees to make all necessary arrangements for ICC-ES to investigate complaints related to any product certification resulting from this application. The applicant also agrees to keep a record of all significant complaints made known to the applicant about the certified product(s), and to make these records available to ICC-ES upon request. The applicant will take appropriate action with respect to such complaints, and document the actions taken.
- d. The applicant agrees that all communications between ICC-ES and the applicant prior to issuance of a final listing report, including draft listing reports and comments thereto, are and shall be the exclusive property of ICC-ES. The applicant agrees not to disclose any such information to others without prior written approval of ICC-ES.
- e. An ICC-ES listing report is not a substitute for applicant's own product safety testing and reliance on other professionals, and does not imply any guarantee or warranty (expressed or implied, and including but not limited to merchantability) by ICC-ES against defects or failures in service nor any responsibility in regard to patent or trademark infringement, misuse of trade name or trade secrets, or any other aspect of unfair competition. Affirmative actions of ICC-ES are based primarily on the data submitted by the applicant and/or holder of the listing report and the validity and integrity thereof as implicitly represented by the applicant and/or holder in submitting the same. Applicant agrees that it shall have no cause of action or claim against ICC-ES or its parent corporation, the International Code Council, Inc., or the officers, directors, members and employees of either entity from time to time arising out of any listing report issued pursuant to this application, whether or not such listing report is subject to conditions, or out of any denial of this application. Applicant agrees to hold ICC-ES, its parent corporation, the International Code Council, Inc., or any of their affiliates, parent, brother or sister corporations or their successor-in-interest or assigns, and the officers, directors, members, and employees of such entities ("ICC" collectively throughout this paragraph) harmless, and to defend and indemnify them, with respect to any claim, liability, action or judgment arising from the use or operation by any person of the product or service to which the application relates, actual or asserted, whether related to the matters set forth in the first sentence of this paragraph or otherwise, whether for personal injury, wrongful death, property damage, or any type of injury, economic loss or damage whatsoever, whether arising in contract, warranty, tort, strict liability or otherwise and whether or not of the same kind or nature as any of the foregoing. ICC's rights pursuant to the foregoing sentence, and applicant's obligations thereunder, shall not apply if ICC was solely negligent for any intentional or willful and wanton act or omission of ICC-ES. If any part or portion of this paragraph, or any application thereof to particular facts, should be determined invalid, the provisions hereof shall be severable so as to achieve for ICC the maximum legal protection. If this application is for a renewal of an existing listing report, the provisions of this paragraph shall apply from the date of first granting of that listing report, whether upon application or without application by applicant or a predecessor and regardless of: intervening modifications to said listing report or modifications pursuant to application for renewal; any prior change in the number assigned to the listing report; and any prior change in ownership rights in or rights to said listing report, or any additional listing report included in the listing report, whether one or more, since granting of said first additional listing report.
- f. ICC'S liability arising under this agreement shall in any event not exceed the amounts received by ICC-ES from applicant hereunder. In no event shall ICC be liable under this agreement or for breach hereof for any indirect, special, incidental or consequential damages of any kind, including without limitation lost profits or loss of business, even if such party has been advised of the possibility of such damages and notwithstanding the failure of the essential purpose of any limited remedy. Applicant acknowledges that fees agreed upon by licensor and accrue are based in part upon these limitations, and that these limitations will apply notwithstanding any failure of essential purpose of any limited remedy.

The person signing this application form must be a duly authorized officer of the applicant company with full authority to execute an agreement on behalf of the applicant and bind the applicant to these terms, or be a person within the applicant company to whom such authority has been given:

Name of signer (printed): _____

Title: _____

Signature: _____

Date: _____

SURVEY: How/where did you hear about the ICC-ES listing report program?

- ☐ Advertisement ☐ Testing Lab ☐ Design professional (engineer, architect, product specifier, etc.) ☐ Other: _____
☐ Code official ☐ Trade Show ☐ Technical/professional organization (ACI, SEAOC, NCSEA, ASTM, CSI, AIA, etc.)
☐ Consultant ☐ Phone call ☐ Current report or listing report holder

I would like to learn how The Learning Center at ICC can help me create an outline or a face-to-face training program or course for my project.

No Yes

Want to educate building professionals on your products and provide CEUs by joining the ICC Preferred Provider Program?

No Yes

One copy of all supporting data must be submitted to ICC-ES, 3060 Saturn Street, Suite 100, Brea, CA 92821, with this application, which includes product labels, test reports from an ISO/IEC 17025 accredited testing laboratory, literature/brochures showing and describing the product and quality control documentation for each manufacturing location.

A check, money order, credit card transaction or wire transfer in U.S. dollars, covering the full application fee and made out to "ICC Evaluation Service," must accompany the application. The fee is nonrefundable. Applicants for new ICC-ES listing reports should note that a qualifying on-site inspection and surveillance inspections will be required, in accordance with applicable ICC-ES Rules of Procedure. The applicant must reimburse ICC-ES for expenses incurred in performing such inspections. Reimbursable expenses include, but are not limited to, travel expenses and staff time.

ICC-ES LISTING REPORT APPLICATION ATTACHMENT – FORM B

Manufacturing Plant Locations

The following information is required for each plant where your listed products are manufactured or will be manufactured under the listing report. If there are more than two manufacturing locations, make copies of this sheet as needed.

Company Name: _____ File No. or Listing Report No.: _____

PLANT COMPANY NAME: _____		
PLANT STREET ADDRESS: _____		
CITY: _____	STATE: _____	ZIP: _____
CONTACT PERSON AND TITLE: _____		
PERSON RESPONSIBLE FOR QA SYSTEM, WITH TITLE: _____		
PHONE NUMBER: _____	E-mail: _____	
HOURS & DAYS OF OPERATION: _____		
ANTICIPATED PLANT CLOSINGS, SHUTDOWNS OR HOLIDAYS: _____		
Is this plant ISO 9000 Certified? Yes No		

PLANT COMPANY NAME: _____		
PLANT STREET ADDRESS: _____		
CITY: _____	STATE: _____	ZIP: _____
CONTACT PERSON AND TITLE: _____		
PERSON RESPONSIBLE FOR QA SYSTEM, WITH TITLE: _____		
PHONE NUMBER: _____	E-mail: _____	
HOURS & DAYS OF OPERATION: _____		
ANTICIPATED PLANT CLOSINGS, SHUTDOWNS OR HOLIDAYS: _____		
Is this plant ISO 9000 Certified? Yes No		

Can English be used as the language for all inspections? Yes No

If no, please specify preferred language: _____

Signature of listing report applicant company authorized signatory: _____

Print or Type Name and Title: _____

Date: _____

ICC-ES LISTING REPORT APPLICATION ATTACHMENT – FORM C

Additional Listees

The following information is required for EACH Additional Listee that currently appears on (or will be appearing on) the listing report. Complete one sheet for each Additional Listee (you may make photocopies of this sheet as needed).

This form is applicable to both manufacturing listees and private label listees:

Listee Company Name: _____ File No.: _____

Please attach, on a separate sheet, a list of additional-listee models cross-referenced to models of the listing report applicant.

ADDITIONAL LISTEE COMPANY NAME: _____

CONTACT PERSON AND TITLE: _____

ADDRESS: _____

CITY: _____ STATE: _____ ZIP: _____

PHONE NUMBER: _____ E-MAIL: _____

In consideration of the processing of this application, the Additional Listee Applicant agrees to abide by any conditions attached to any listing or renewal thereof issued pursuant to this application, or any later amendment of said listing or renewal, and the applicable ICC-ES Rules of Procedure as they now exist and as they may be reasonably modified in the future. Applicant acknowledges reviewing the applicable Rules of Procedure.

Additional Listee Applicant understands that any approval of this application will be automatically vacated upon any expiration or revocation or amendment of said ICC-ES listing report. Applicant also agrees and understands that the Listing Report Applicant Company of the above-referenced ICC-ES listing report may at any time withdraw any rights of Applicant which may result from this application; ICC Evaluation Service, LLC, however, is to have a reasonable period of time within which to delete any reference to the Applicant from the ICC-ES listing report. Applicant also agrees that any rights resulting from this application may be revoked by ICC Evaluation Service, LLC, separate and apart from any revocation of the above-referenced ICC-ES listing report for any reason considered valid by ICC Evaluation Service, LLC, even if such reason is not a ground for revocation of the ICC-ES listing report itself.

Authorized Signature for Additional Listee Applicant

Name and Title

Date

Name of Listing Report Applicant (Company Name)

Signature for Listing Report Applicant Company

Name of Signer and Title

Date